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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Joseph Vanniasinkam, Jon Meyer, Christopher Viray and
Bor-Long Twu

Assignee: Pine Photonics Communications, Inc.

Title: Grating Based Multiplexer/Demultiplexer Component

Application No.: 10/028,419

Filing Date: December 21, 2001

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Examiner: Unknown

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TECHNOLOGY CENTER 2800
SEP 30 2002

San Jose, California
September 23, 2002

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

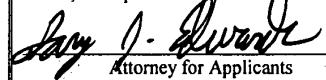
Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants bring the following documents (copies enclosed) listed on the enclosed PTO form 1449 to the Examiner's attention in the above-captioned application.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 23, 2002.


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9/23/02
Date of Signature

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Respectfully submitted, OCT 02 2002


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